



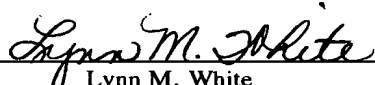
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS: David A. Selby
APPLICATION NO. 09/628,400
FILED: August 1, 2000
CASE: RSW9-2000-0052-US1
TITLE: METHOD AND SYSTEM FOR PREDICTION OF
MATERIALIZATION OF A RESERVED PURCHASE

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Lynn M. White

Commissioner for Patents
Washington, DC 20231

Information Disclosure Statement
Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98

Sir:

Pursuant to Applicants' duty of disclosure set forth in 37 CFR §§1.56, 1.97 and 1.98, the following information is disclosed to aid the Examiner in his or her examination of the application. The inclusion of information in this Disclosure Statement and as set forth on the attached Forms PTO-1449 is not necessarily a concession that such information is prior art to the present invention or that such information is in an art area analogous to the present invention. Copies of the publications listed on the attached Forms PTO-1449 are submitted herewith.

Docket No. RSW9-2000-0052-US1

Application No. 09/628,400

It is respectfully requested that the Examiner initial Forms PTO-1449 and return a copy of same upon consideration of these publications.

Since this Information Disclosure Statement is being filed prior to the mailing date of a first Office Action on the merits, no fee or certification is required.

Respectfully submitted,

Date 10/3/00

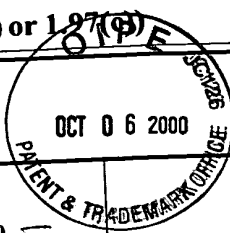
A handwritten signature in black ink, appearing to read 'Mark D. Simpson', written over a horizontal line.

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M:\MSimpson\IBM\24056\PatOff\IDS transmittal

#2 276+ 2161

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. RSW9-2000-0052-US1	
In Re Application Of: David A. Selby				
Serial No. 09/628,400	Filing Date August 1, 2000	Examiner —	Group Art Unit 2761	
Title: METHOD AND SYSTEM FOR PREDICTION OF MATERIALIZATION OF A RESERVED PURCHASE				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either: 1. a Final Action under 37 CFR 1.113, or 2. a Notice of Allowance under 37 CFR 1.311, whichever occurs first.				
Also submitted herewith is:				
<input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).				



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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(f))

Docket No.
RSW9-2000-0052-US1

In Re Application Of: **David A. Selby**

Serial No.
09/628,400

Filing Date
August 1, 2000

Examiner
—

Group Art Unit
2761

Title: **METHOD AND SYSTEM FOR PREDICTION OF MATERIALIZATION OF A RESERVED PURCHASE**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0629 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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Mark D. Simpson
Signature

Dated: 10/3/00

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